

# Fallen in a dead ear: intralabyrinthine preservation of stapes in fossil artiodactyls

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**Abstract:** The stapes is the last of the middle ear ossicle chain and the smallest bone of the mammalian skeleton. Because it rests on the membrane of the fenestra vestibuli during life, the stapes may often fall within the bony labyrinth cavity when soft structures decay after death. In this work, we highlight the unexpected role that the bony labyrinth plays in the preservation of the stapes. Systematic investigation of the bony labyrinth of 50 petrosal bones of extinct and extant artiodactyls led to the discovery of eight cases of “intralabyrinthine” stapes. Three dimensional reconstructions of these stapes allow documenting stapes morphology of four extinct artiodactyl taxa: *Microstonyx erymanthus* (Suidae), *Elomeryx borbonicus* (Hippopotamoidea), *?Helohyus plicodon* (Helohyidae), and an undetermined Cainotheriidae; and four extant ones *Choeropsis* and *Hippopotamus* (Hippopotamidae), and *Tayassu* and *Phacochoerus* (Suoidea). *?Helohyus plicodon* from the Middle Eocene documents the oldest stapes known for the order Artiodactyla. Morphological study and metric analyses of our sample of artiodactylan stapes show that stapes are likely to carry relevant phylogenetic characters/signal within artiodactyls, and a potential Euungulata signature.

**Keywords:** inner ear, bony labyrinth, allometry, middle ear ossicles

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## INTRODUCTION

The middle ear ossicles, the malleus, the incus, and the stapes, are contained within the middle ear space. They play a crucial role in sound transmission to the inner ear of mammals by performing an anatomical impedance match that permits sound waves from the air (and the tympanic membrane) to enter the fluid-filled labyrinth (cochlea). The stapes is the most internal of the middle ear ossicle chain and contacts the membrane of the fenestra vestibuli. These ossicles are the smallest bones of the skeleton of modern mammals; their small size in adult therians is due to a large negative ontogenetic allometry (Luo, 2011). Their size and fragility in therians explain why few middle ear ossicles are found in the fossil record. However, some stapes – the smallest of ear ossicles (Nummela, 1995) – have been described from taxa known from the Mesozoic Period for fossil Mammaliaformes (e.g., few fragmentary stapes from *Morganucodon* and *Haldanodon* (Kermack *et al.*, 1981; Ruf *et al.*, 2013)). Several multituberculate stapes have been documented from Palaeocene deposits (e.g., Meng, 1992; Miao & Lillegraven, 1986; Lillegraven & Krusat, 1991; Allin & Hopson, 1992; Crompton & Luo, 1993), and Eutherian stapes have been found in the Late Cretaceous (Archibald, 1979). Given the interest for the first steps of inner ear bones evolution, stapes have been intensively searched for in basal therian groups. On the other hand, relatively few fossil stapes are documented for the crown clade Placentalia. To our knowledge, these include some primates (e.g., the Eocene genera *Notharctus* and *Djebelemur* (Gregory, 1920; Benoit *et al.*, 2013); Pliocene Homininae (Moggi-Cecchi & Collard, 2002)), the Eocene palaeodont *Metacheiromys* (Patterson *et al.*, 1992), a variety of fossil sloths and cingulates (e.g.,

Woodward, 1900; Guth, 1957, 1961; Patterson *et al.*, 1992, Blanco & Rinderknecht, 2012), basilosauroids (e.g., Lancaster, 1990), and elephantimorphs (Ekdale, 2011).

The morphology of the stapes varies significantly among species of placental mammals (e.g., as illustrated by Fleischer, 1973; Nummela, 1995; Solntseva, 2013). It has even been suspected once to reflect major divisions among Placentalia (Novacek & Wyss, 1986), a view which has however been abandoned since (e.g., Patterson *et al.*, 1992). Still, the phylogenetic signal carried by this bone might be important at least at other taxonomic levels. Pioneering and foundational work on stapes morphology include that of Doran (1878), Fleischer (1973), and Nummela (1995). In the semantic works of Doran (1878) and Fleischer (1973), the stapes of a dozen of extant artiodactyl taxa are described. Recent developments of micro-CT scan techniques have provided new tools to investigate the ear region of fossil mammals, giving an unprecedented access to its finest structures. During micro-CT scan investigation of the bony labyrinth of fossil artiodactyls one of the authors (MO) noticed numerous cases of post mortem preservation of the stapes in the bony labyrinth, a hollow cavity that shelters fluids and membranous parts of the inner ear organs. A systematic search for stapes trapped in the inner ear cavity was performed on a sample of 50 petrosals of extant and extinct artiodactyls. Among these 50 petrosal bones investigated, eight preserved this ossicle in the bony labyrinth space, indicating a not so rare occurrence of this case. Because it rests on the membrane of the fenestra vestibuli during life, the stapes may often fall within the bony labyrinth cavity when soft structures such as the tympanic membrane decay after death. In this work, we highlight the unexpected role that the bony labyrinth plays in the preservation of the stapes, the smallest and most fragile bone of the mammalian skeleton. We document the anatomy

of fossil stapes of four extinct artiodactyl taxa: one Suidae, *Microstonyx erymanthius*; one Hippopotamoidea, *Elomeryx borbonicus*; one Helohyidae, *?Helohyus plicodon*; and one undetermined Cainotheriidae, *?Helohyus plicodon* from the Middle Eocene documents the oldest stapes known for the order Artiodactyla. “Intralabyrinthine stapes” have also been retrieved from bony labyrinths of four extant artiodactyls that had been chemically prepared: Hippopotamidae (*Choeropsis*; *Hippopotamus*), and Suoidea (*Tayassu*, *Phacochoerus*) and are included in this study. Measurements of the fossil stapes described are used to explore how the dimensions of several aspects of the stapes in Artiodactyla vary relative to one another and relative to the body mass and vestibule volume. Thanks to the availability of outgroup comparative data for the stapedia footplate, a comparison of the artiodactyl condition with that of other placentals could also be performed. The dimensions of the footplate area of the reconstructed stapes also allowed us to calculate low and high frequency limit estimates for the present artiodactyl sample, which adds to the wealth of information provided by this rarely preserved bone.

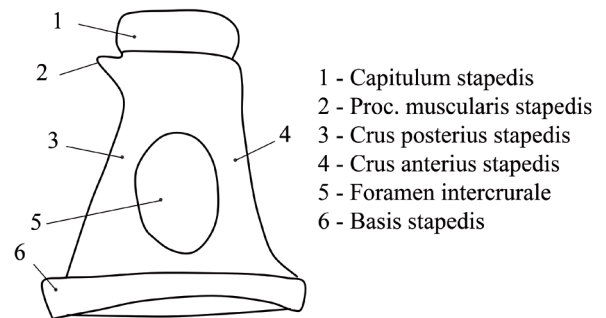
**MATERIAL AND METHODS**

**Material**

Over 50 petrosals of artiodactyls investigated, four fossil and four extant specimens yielded intralabyrinthine stapes. The stapes of the dichobunoid *?Helohyus plicodon* is described from the virtual reconstruction of the right *in situ* bony labyrinth of specimen AMNH 13079 from the Bridger Formation locality B5, Sweetwater County, Wyoming. The stapes of a small undetermined cainotheriid species is described from an isolated right petrosal (UM PDS 3352) from Pech Desse, Quercy, France (MP 28, Late Oligocene, Biochrom’97). That of the hippopotamoid *Elomeryx borbonicus* is known from a right isolated petrosal (MHNL H 67) from Saint Henri, Bouches du Rhône (MP 26, early Late Oligocene). The stapes of *Microstonyx erymanthius* is documented from the interior of the bony labyrinth endocast of the mechanically prepared petrosal MNHN.F.MAR3299 illustrated by Orliac (2012: figs. 2-3) from the Late Miocene locality of Maragheh, Iran. Intralabyrinthine stapes have also been retrieved from bony labyrinths of four extant artiodactyls that had been chemically prepared for zoology collections: two hippopotamids *Choeropsis liberiensis* (UPPal-M09-5-005a) and *Hippopotamus amphibius* (AMNH-M 24289), and two suoids *Tayassu tajacu* (UM N03) and *Phacochoerus* sp. (AMNH unknown number).

**CT scanning and digital endocast extraction**

The specimens were scanned using either the high resolution GE phoenix|vtome|x s240 industrial micro-CT scanner at the American Museum of Natural History (New York), the Skyscan 1076 in vivo CT scanner at the ISE-M (UM), or the Viscom X8050-16 CT scanner at the IPHEP. Specimen numbers, CT scan institutions and resolution of each scan is summarized in Table 1. We extracted the digital endocasts of the bony labyrinths and the stapes using the segmentation tools of AVIZO 6.3 (Visualization Sciences Group) and calculated volumes by surface integration. The segmentation process was performed slice-by-slice manually with the limited range only option of the brush tool of AVIZO 6.3. We segmented the vestibules and semicircular canals in two separate label fields to estimate these volumes separately. The resolution of the CT scan data is, for most specimens, sufficient but not optimal to reconstruct an object as small as the stapes. Besides, taphonomic bias such as recrystallization and partial preservation sometimes led us to use different thresholds to reconstruct as much of the structure as possible. Using different thresholds might impact slightly the thickness of some reconstructed parts (Gunz *et al.*, 2012) such as the crura (see Fig. 1) that are very thin. However, the general picture and the measured proportions of the stapes are preserved and reliable, except for one specimen (*Elomeryx*) whose footplate is possibly incomplete and has been removed from some analyses (see Results). To minimize reconstruction bias, for all specimens of this study, the surface rendering was performed with the “none” smoothing type option.



**Figure 1.** Illustration of stapes morphology following the nomenclature of Fleisher (1973).

suprafamilial classification	taxon	specimen number	side	CT scan institution	voxel size (µm)
Dichobunoidea	<i>?Helohyus plicodon</i>	USNM 13079	right	AMNH	61.66
	Cainotheriidae indet	PDS 3352	right	UM	35.84
Hippopotamoidea	<i>Elomeryx borbonicus</i>	MHNL H 67	right	UM	35.84
	<i>Choeropsis liberiensis</i>	UPPal-M09-5-005a	right	IPHEP	100
	<i>Hippopotamus amphibius</i>	AMNH-M 24289	left	AMNH	120
Suoidea	<i>Tayassu tajacu</i>	UM N03	right	UM	35.81
Suoidea	<i>Microstonyx erymanthius</i>	MNHN MAR3299	right	UM	35.81
Suoidea	<i>Phacochoerus</i>	AMNH-M	left	AMNH	63.94

**Table 1.** Specimen related and technical parameters. For institution acronyms, see Materials and Methods.

## Measurements

We made linear measurements from the inner ear model using the 3-D measurement tool of AVIZO 6.3. The “vestibule volume” includes the semicircular canals but not the vestibular aqueduct. Measurements of the fossil stapes described here are provided in Table 2.

Relationships between the dimensions of several aspects of the stapes or of one stapedia dimension relative to body mass and vestibule volume were investigated through log/log linear regression analyses calculated with Past (Hammer *et al.*, 2001). When the relation was not found to be significant, we subsequently verified if it may however be otherwise when phylogenetic effects are considered. This was done using the ages of divergence and a pattern of relationships constructed from Gatesy *et al.* (2013) in order to perform Phylogenetic Independent Contrasts PICS (Felsenstein, 1985) with Mesquite module PDAP version 1.14 (Maddison & Maddison, 2009, Midford, Garland & Maddison, 2008). The cladogram used for this analysis and the branch lengths used are provided in Supplementary Online Material (.nex file).

For a comparison of the variation of the stapes dimensions relative to body mass with taxa outside Artiodactyla, data on the stapedia footplate dimensions in various placentals have been taken from Fleischer (1973; only specimens with non-ambiguous specific attribution have been considered here; all measurements and sources for body masses are indicated in Supplementary Online Material). Body mass estimates for fossil artiodactyl taxa have been calculated from total skull length estimates for the small-sized cainotheriid species using Janis (1990) equation and from astragalus dimensions for *Elomeryx borbonicus* (based on four specimens from St Henri, using the equation of Martinez & Sudre, 1995). Body mass estimates for *Microstonyx erymanthius* were taken from the NOW database (Fortelius 2013), and estimates for *?Helohyus plicodon* was taken from Alroy (1998).

## Abbreviations for collections and institutions

AMNH, American Museum of Natural History, New York, USA; IPHEP, Institut de Paléoprimatologie, Paléontologie humaine : Evolution et Paléoenvironnements, Poitiers, France; MHNL, Muséum d’Histoire Naturelle de Lyon, Lyon, France ; MNHN, Muséum National d’Histoire Naturelle, Paris, France; UM, Université de Montpellier, Montpellier, France.

## DESCRIPTIONS

The stapes consists of three parts: the head or capitulum, the shaft or body, divided in two crura (the anterior crus and the posterior crus), and the footplate (Fig. 1). The head of the stapes connects to the long arm of the incus, and the footplate of the stapes is kept in the fenestra vestibuli (oval window) of the petrosal with the help of a ring-shaped ligament. The musculus stapediis is attached to the processus muscularis stapedis (Proc. muscularis stapedis), lying in the area of the posterior wall of the tympanum. The shaft of the stapes presents a central cavity which provides passageway, at least in embryos, to the stapedia artery: the foramen intercrurale.

We assume in our sample, that a stapes trapped in a right bony labyrinth is a right stapes (Table 1). Based on illustrations of several mammalian stapes (Fleischer, 1973), it appears that the Proc. muscularis stapedis is located on the posterior crus. This criteria was primarily used to orientate the few stapes of our sample for which this process could be identified. In our sample, the stapes is asymmetrical, with a crus slenderer than the other. The foramen intercrurale is also wider on one face than the other. On illustrations sourced for extant mammals (Fleischer, 1973; Nummela, 1995) the slender crus can either be the anterior or the posterior one. For the illustrated ungulate mammals (artiodactyls and perissodactyls), however, the slender crus is always the anterior one. We therefore assume here that it is also always the case in artiodactyls. This criterion helps us to orientate the stapes when the Proc. muscularis stapedis could not be identified.

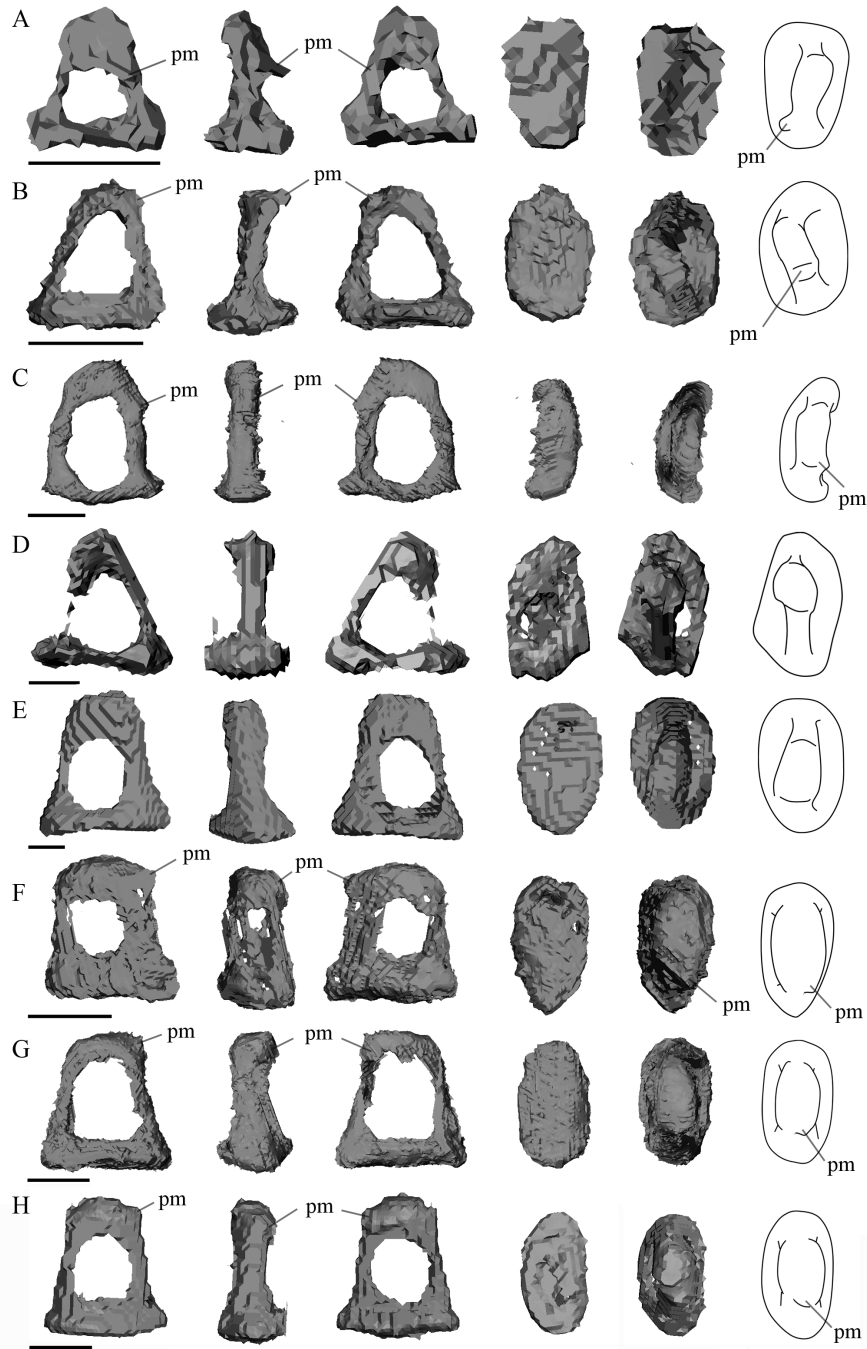
The stapes can be fragile, light, and hollow in some taxa (e.g., Rodentia, Chiroptera), and massive and compact in others (e.g. Carnivora, Cetacea). The stapes of the fossil artiodactyls documented in this study are lightly built; they show a wide range of morphologies but all present a wide foramen intercrurale between the two crura. In some taxa, this foramen is clearly larger on the lateral side of the bone, this is the case in *E. borbonicus*, *H. amphibius*, *T. tajacu*, and *Phacochoerus* sp. This character might be an artefact due to a difference of preservation of the bone on the lateral face. A difference of diameter of the foramen intercrurale between both faces is however also observed in other placental mammals (Fleischer, 1973). The outlines in lateral/medial views is triangular in *?H. plicodon*, the Cainotheriidae indet., and *C. liberiensis*, whereas

taxon	fenestra vestibuli (L; W)	stapes length	footplate (L; W)	footplate ratio	foramen intercrurale (L; W)	estimated bodymass	vestibule volume
<i>?H. plicodon</i>	1.29; 0.91	0.95	0.96; 0.59	1.63	0.46; 0.51	5000	8.24
Cainotheriidae.	1.34; 0.82	1.21	1.17; 0.75	1.56	0.77; 0.66	1000	8.92
<i>E. borbonicus</i>	3.09; 1.62	2.5	2.16; 0.95	2.27	1.63; 1.07	105500	44.69
<i>Hi. amphibius</i>	4.46; 3.40	3.7	3.68; 2.36	1.56	1.02; 0.78	1850000	398.56
<i>C. liberiensis</i>	3.17; 1.84	2.69	2.83; 1.58	1.79	1.61; 1.36	215000	155.5
<i>T. tajacu</i>	1.65; 0.9	1.68	1.65; 0.86	1.86	0.86; 0.67	22000	27.2
<i>Phacochoerus</i> sp.	2.29; 1.19	2.26	1.93; 1.07	1.80	1.26; 0.93	75000	51.38
<i>M. erymanthius</i>	2.29; 1.65	2.2	2.06; 1.08	1.90	1.5; 1.14	270000	51.38

**Table 2.** Measurements of stapes and bony labyrinth of associated petrosal, and body mass estimates of artiodactyl taxa described in the present study. Sources for body mass estimates are provided in the material and method section. All linear measures in mm, body mass in g, volume in mm<sup>3</sup>. Abbreviations: L refers to length and W refers to width.

it is more quadrangular in *E. borbonicus*, *H. amphibius* and the suoid species. This difference in shape is mainly due to differences in the antero-posterior width of the head of the stapes capitulum. Half of the stapes of our sample are asymmetrical. As in the case of *Helohyus*, the two hippopotamid species, and *Tayassu*, where one crus is thicker than the other (Fig. 2). The internal surface of the crura is concave in all the specimens investigated. The Proc. muscularis stapedis can be identified on all the stapes except for the two extant hippos. In our sample, the distinction between the capitulum of the stapes and the crura is not visible (Fig. 2). This could primarily be due to the less-than-optimal resolution of the reconstructions.

However, in its descriptions of artiodactyl stapes, Fleischer (1973) mentions the lack of demarcation of the capitulum in *Sus* and in *Hippopotamus*, and a weak demarcation in *Camelus*. In turn, according to the same author, the demarcation between the capitulum and the crura is well marked in the Ruminantia *Capreolus* and *Giraffa*. The footplates of our artiodactyl sample are oblong with a ratio comprised between 1.56 and 2.27 for the different taxa. *Elomeryx borbonicus* is remarkable by the slenderness of its footplate (ratio=2.27), although part of it might be missing. Suoidea show a large head compared to the size of the footplate. This is particularly visible in dorsal and posterior views in Fig. 2. Also, the width of the crura is large



**Figure 2.** 3D stapes model reconstructions of *Helohyus plicodon* (right) (A); *Cainotherium* sp. (right) (B); *Elomeryx borbonicus* (right) (C); *Choeropsis liberiensis* (right) (D); *Hippopotamus amphibius* (left mirror view) (E); *Tayassu tajacu* (right) (F); *Microstonyx erymanthius* (G); *Phacochoerus aegypticus* (left mirror view) (H), in from left to right medial, posterior, lateral, ventral, and dorsal views. Abbreviation: pm, Proc. muscularis stapedis. Scale bar = 1 mm.

relative to the width of the footplate and compared to the other taxa of our sample (visible in lateral view Fig. 2).

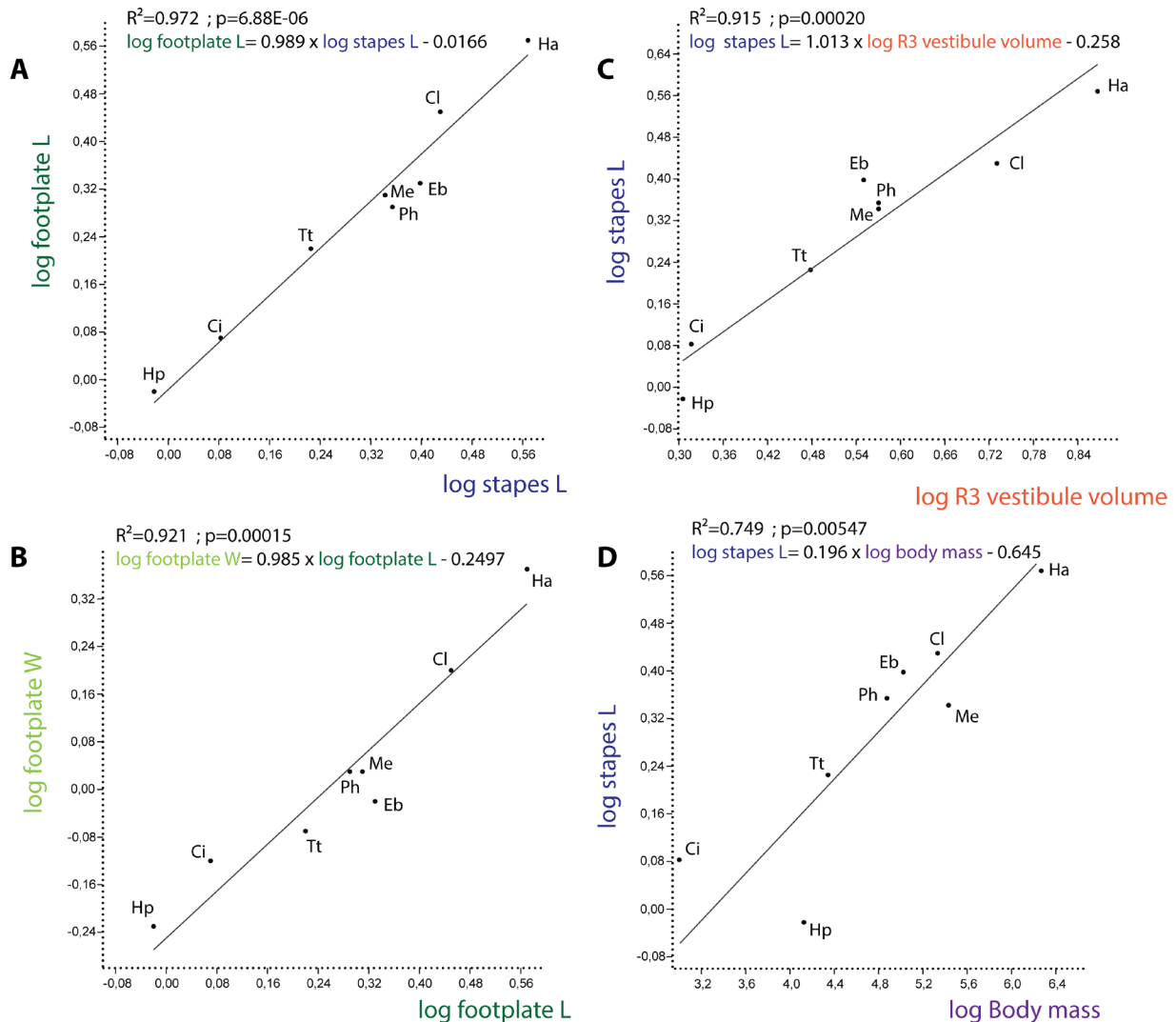
## METRIC ANALYSES

Analyses of relationships between linear measurements taken on the stapes within our artiodactyl sample indicate that the length of the stapedia footplate varies almost isometrically with the length of the stapes (Fig. 3A, Table 2). The same is also true for the footplate width relative to the footplate length, and for the stapes length relative to the vestibule volume (Fig. 3B-C, Table 2). In contrast, the stapes length is clearly negatively allometrically correlated to the body mass (Fig. 3D, Table 2; NB: considering body mass as a three-dimensional variable, the scaling exponent should be  $\sim 0.333$  to reach isometry, whereas it is 0.196 in our analysis).

Concerning the footplate dimensions, we have been able to gather a large sample including our data in artiodactyls and the measurements given by Fleischer (1973) in a number of other placentals and four additional artiodactyl specimens. It includes data for 12 artiodactyl individuals belonging to 11

different species and for 26 non-artiodactyl individuals (Supplementary Online Material). The footplate length and width are both clearly negatively allometric to body mass in this sample (i.e., slope less than one third; see above) (note that, as should be expected, similar results are obtained when plotting the footplate area against the body mass). Interestingly, artiodactyls appear to plot separately from most other placentals (Fig. 4A-B) for both the footplate length and width. The artiodactyl regression line actually indeed differs clearly from the regression line calculated for the sample of other placentals (non-Artiodactyla placentals). Both footplate length and width fit to a regression line that corresponds to a relatively smaller footplate in comparison with the non-Artiodactyla placentals regression line (Fig. 4A-B). The few placental taxa plotting close to the artiodactyl regression line for both length and width of the footplate include the perissodactyls *Tapirus* and *Equus*, the capybara and the armadillo.

Finally, the footplate ratio shows no significant relationship to the stapes length in our artiodactyl sample ( $R^2=0.0619$ ,  $p=0.55$ ), whether it includes or not *Elomeryx* whose footplate may be missing some lateral part. When taking phylogenetic effects into account (PICs analysis; see Material and Methods),



**Figure 3.** Regression analyses between stapes measurements, cube root of vestibule volume and body mass (see data in Table 2) for a sample of eight artiodactyl species. Abbreviations: Ci, Cainotheriidae indet.; Cl, *Choeropsis liberiensis*; Eb, *Elomeryx borbonicus*; Hp, *Helohyus plicodon*; Ha, *Hippopotamus amphibius*; Me, *Microstonyx erymanthius*; Ph, *Phacochoerus*; Tt, *Tayassu tajacu*.

the analysis provides a similar result ( $R^2=0.14$ ;  $p=0.36$ ) (Supplementary Online Material). This shows that the variation of the footplate ratio is clearly not dependent on the stapes length in our sample. Instead, there might be some phylogenetic influence on the footplate ratio because the highest values (*E. borbonicus* excepted) are found in the suoid clade (*Tayassu* (*Microstonyx*, *Phacochoerus*)) (values between 1.80 and 1.90). Furthermore, the values of the footplate ratio in artiodactyls (range= 1.56-2.27) are close to the mean of our placental sample excluding artiodactyls (mean=0.55). Therefore, though artiodactyls may be distinguished from lipotyphlan taxa which show a very high ratio ( $\geq 2.50$ ), their footplate ratio is not diagnostic when compared to most other placentals in our sample (Supplementary Online Material; Excel file).

## DISCUSSION

### Frequency and location of « intralabyrinthine » preservation of stapes

Cases of fossil stapes retrieved from intra-labyrinth space occur in several placental groups, but most remain unpublished until now. While several cases have been observed at least in primates (Benoit *et al.*, 2013; R. Lebrun pers. comm.), not-ungulates, litopterns, xenarthrans (G. Billet pers. observ.), and proboscideans (A. Schmitt pers. comm.), intralabyrinthine preservation of stapes has not been reported from studies based on a rather wide sample of fossil perissodactyls including equoids (L. Danilo pers. comm.) and rhinocerotids (M. J. Orliac unpublished data).

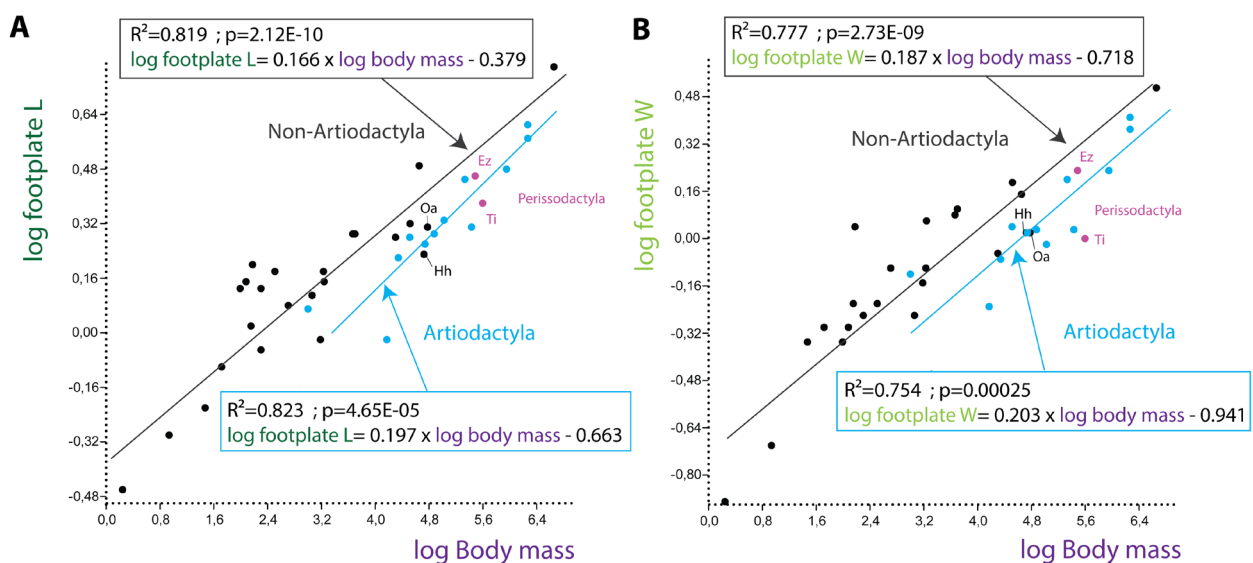
The stapes rests on the membrane of the fenestra vestibuli during life; it is connected to this fenestra by an annular ligament (Fleischer, 1973). Comparison of the stapedia footplate dimensions to the dimensions of the fenestra vestibuli indicates that in most cases in our sample, the latter is much larger than the former (Table 2), making it easy for the stapes to fall in the inner ear space after the soft tissue decays. Artiodactyls present an ossified bulla, and, as such, preservation of *in situ* stapes, or stapes trapped in the middle ear space, within the bulla would be expected. However, for artiodactyls of our sample

that did preserve the auditory bulla, no stapes was recovered in the middle ear cavity but they were recovered in the bony labyrinth of the inner ear. Besides, stapes were recovered more frequently from isolated petrosal (five cases) than from *in situ* petrosals (two cases). This observation suggests that once it is trapped in the bony labyrinth, a stapes has little chance to fall out of it, even if the cranial remains are displaced/moved/ after death, most probably because of the small size of the fenestrae compared to the dimensions of the stapes (Fig. 5). In half of the cases, the stapes are preserved in the ampullar area (e.g., *?Heloysus*, Fig. 5A-C; *Microstonyx*, Fig. 5J-L); it is otherwise preserved in the elliptical/spherical recesses (e.g., *Elomeryx*, Fig. 5D-F; *Choeropsis*) or in the space of the basal turn of the cochlea (e.g., Cainotheriidae indet, Fig. 5G-I).

### Stapes dimensions in artiodactyls

Regression analyses on our small artiodactyl sample indicate close isometry between several dimensions of the stapes such as its length and the length and width of its footplate, which denotes that these dimensions can be directly compared using ratios. Moreover, the stapes length is also closely isometrically correlated with the vestibule volume of the bony labyrinth in our sample, whereas it is clearly negatively allometrically correlated to the body mass. This indicates that the stapes and vestibule may present a similar slower growth pace relative to the body mass in artiodactyls. This is not surprising as strong negative allometry has already been shown for both the middle-ear ossicles and the bony labyrinth of the inner ear relative to other cranial elements and/or body mass (e.g., Nummela, 1995; Luo *et al.*, 2001; Nummela & Sánchez-Villagra, 2006; Spoor *et al.*, 2007; Billet *et al.*, 2015).

In addition, comparison of stapedia footplate dimensions relative to body mass between artiodactyls and other placentals demonstrate that the former group may possess relatively small stapes (or at least small stapedia footplates). Interestingly, the two perissodactyls included in our sample also show a similar pattern to artiodactyls. The relative small size of the stapedia footplate might therefore be a synapomorphy of Euungulata, the clade that gathers Artiodactyla and Perissodactyla (Asher



**Figure 4.** Regression analyses between stapedia footplate dimensions (A, footplate length; B, footplate width) and the body mass in a sample of 11 artiodactyl species (12 individuals) and 26 non-artiodactyl placental species (data provided in Supplementary Online Material). Abbreviations: Ez, *Equus zebra*; Hh, *Hydrochoerus hydrochaeris*; Oa, *Orycteropus afer*; Ti, *Tapirus indicus*.

& Helgen, 2010). Moreover, as the stapedia footplate rests on the membrane of the fenestra vestibuli during life, the dimensions of this fenestra may also be reduced in euungulates in comparison to other placentals. This might also be connected to the relative light weight of the malleus and incus in several artiodactyls and perissodactyls (Nummela, 1995). These preliminary results, which may have significant phylogenetic and potential functional implications (see below hearing estimates based on the footplate area), thus clearly deserve to be investigated in larger samples of placentals in the future.

Finally, we have also shown, based on our small artiodactyl sample, that footplate shape is probably not influenced by allometry in these ungulates. Therefore, footplate shape may be examined in order to detect phylogenetically significant differences between artiodactyl groups. Though a larger sampling is required to confirm it, an elongated stapedia footplate (high footplate ratio) may for example constitute a synapomorphy of the clade Suoidea.

### Stapes morphology of Hippopotamidae and amphibious communication

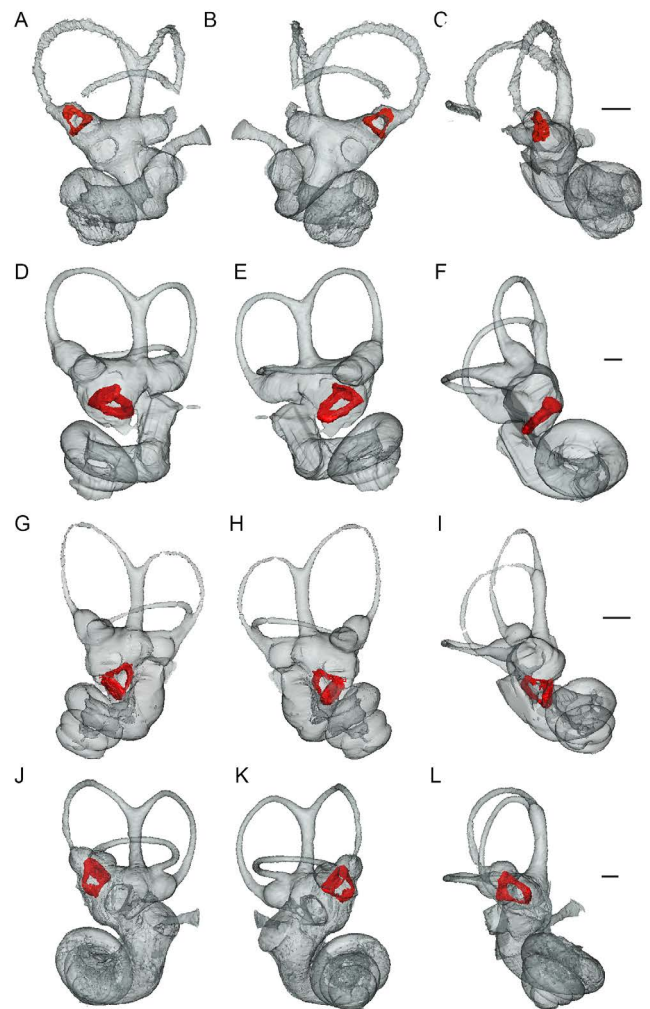
In fully aquatic and semi-aquatic mammals, like cetaceans, sirenians and phocids, underwater hearing implies a modification of the ossicular chain morphology and mass, in relation to bone conduction of sounds (for review see Nummela, 2008). Most of the morphological modifications described in relation to the adaptation of underwater hearing concern the malleus and incus (larger and denser than in terrestrial mammals). Yet, the relation of the mass of the stapes to that of the malleus plus incus is very close to isometry (Nummela, 1995) and the stapes has to be solid enough to stand the inertial force produced by the swing induced by the malleus and incus. The available sample of stapes illustrated for aquatic and semi aquatic mammals show that the stapes of these taxa is massive and presents wide and thick crura, as well as a very small or closed foramen intercrurale (e.g., *Phocaena*, *Balaenoptera*, *Manatus*, *Arctocephalus*, *Otaria*, *Phoca*; see Doran, 1878:Pl. 59, 626-63; Fleisher, 1973:figs 36, 41, 52;).

Hippos are semi aquatic mammals, spending most of their day in the water but feeding mostly on land. With its head in an “amphibious position” (eyes, nostrils and ears above water, mouth and throat below), *H. amphibius* can respond to sounds emitted underwater: with the ears out of the water, it is able to hear directionally in air and water simultaneously (Barklow, 2004). Virtually no information is available for *C. liberiensis* regarding its ability to hear sound directionally underwater, but the morphology of its petrosal bone, with a pachyostotic tegmen tympani also suggests a specialization of sound perception pathway (O’Leary *et al.*, 2012, Orliac *et al.*, 2014). The way sound waves are transmitted from water to the inner ear underwater in hippos is still unclear, but it most probably implies bone conduction (Barklow, 2004). A few stapes of *H. amphibius* are illustrated in Doran (1878) and Fleischer (1973). Doran (1878) mentions that the three specimens of *H. amphibius* he could observe present a large foramen intercrurale. It is also the case of the specimen illustrated by Fleischer (1973) and for the two specimen of Hippopotamidae illustrated in this work. In *H. amphibius*, as well as in *C. liberiensis*, the crura are slender when compared to the aquatic and semi aquatic mammals that perform bone conduction cited above. Despite their ability to directionally hear sound underwater, hippos do not show the common morphological modifications of the stapes found in other amphibious mammals.

### Auditory capabilities of extant and extinct species

Correlations between middle ear ossicles size and shape, and auditory capacities have been demonstrated in therian mammals (e.g., Rosowski & Graybeal, 1991; Hemilä *et al.*, 1995; Mason, 2004; Nummela & Sanchez-Villagra, 2006; Nummela *et al.*, 2007). Rosowski & Graybeal (1991:fig. 8) used stapedia footplate area to estimate the audible hearing range of the extinct mammaliaform *Morganucodon*. This correlation has subsequently been used to propose estimates for early placental mammals (Meng & Fox, 1995). We use here the equations of Rosowski & Graybeal (1991) to calculate low and high frequency limit estimates of our artiodactyl sample based on the footplate area of the reconstructed stapes. Results are provided in Table 3.

The lowest values for low frequency limit estimates are found in extant hippos, *H. amphibius* (low limit = 0.05 kHz, high limit = 15.77 kHz) and *C. liberiensis* (low limit = 0.10 kHz, high limit = 20.57 kHz). Hearing limits for these taxa have, to our knowledge, never been determined. The extant *Hippopotamus amphibius* communicates using a variety of sounds, including surface calls with peak frequency at  $0.205 \pm 0.188$  kHz on the surface (Barklow, 2004), a value consistent with



**Figure 5.** Bony labyrinth and associated stapes of extinct artiodactyl taxa: *Helohyus plicodon* (A-C); *Elomeryx borbonicus* (D-F); Cainotheriidae indet (G-I); *Microstonyx erymanthius* (J-L) in anteromedial (A,D,G,J), posterolateral (B, E, H, K), and ventromedial (C, F, I, L) views. Scale bar = 1mm.

taxon	footplate area	low frequency limit	high frequency limit
? <i>H. plicodon</i>	0.44	0.98	47.01
Cainotheriidae indet	0.69	0.6	39.46
<i>E. borbonicus</i>	1.61	0.24	28.09
<i>Hi. amphibius</i>	6.82	0.05	15.77
<i>C. liberiensis</i>	3.51	0.10	20.57
<i>T. tajacu</i>	1.11	0.36	32.56
<i>Phacochoerus</i> sp.	1.62	0.23	28.02
<i>M. erymanthius</i>	1.75	0.22	27.20
<i>Diacodexis ilicis</i> *	0.58	0.73	42.24

**Table 3.** Audible hearing range estimates (expressed in kHz at 60 dB SPL re: 20  $\mu$ Pa) for the artiodactyl sample of the present study based on stapedial footplate area (in mm<sup>2</sup>). Low and high frequency limit estimates are calculated after the equations of Rosowski & Graybeal (1991). \* footplate area for *D. ilicis* calculated after the dimensions of the fenestra vestibuli.

the hearing range estimated here for this taxon based on stapes dimensions. *H. amphibius* also communicates underwater and produce sounds underwater (Barklow, 2004). The mean peak frequency measured underwater equals  $2.087 \pm 1.487$  kHz (Barklow, 2004), a value which is also consistent with the present estimates. Interestingly, the values found for the extinct smaller hippopotamoid *Elomeryx borbonicus* (low limit = 0.24 kHz, high limit = 28.09 kHz) are higher than those calculated for extant hippos and correspond to the hearing range estimated for suoid taxa (Table 3). The estimates calculated here for suoid taxa are close to the hearing range of the extant suid *Sus scrofa* at 60 dB (low limit = 0.04 kHz, high limit = 40.5 kHz, Heffner & Heffner, 1990), although low frequency limits seem to be overestimated. The small Paleogene artiodactyls ?*H. plicodon* and the undetermined cainotheriid taxon are the smallest taxa of our sample (see Supplementary Online Material). Their calculated audible hearing range show high upper and lower limits. Their low limit values are superior to that estimated for *Diacodexis ilicis* based on cochlear coiling (0.543 kHz, Orliac *et al.*, 2012); but closer values are found when Rosowski & Graybeal (1991) equation is used for *D. ilicis* (Table 3). Finding higher limit estimates for smaller taxa is not surprising as the audible range correlates with interaural distance for taxa using binaural cues (Heffner & Heffner, 1992, 2008) and taxa with small heads and close set ears will be able to hear higher frequencies than taxa with large heads. These results indicate that, based on their stapedial footplate area, ?*H. plicodon* and the undetermined cainotheriid taxon, were most probably not low frequency hearing specialists, and had hearing limits values congruent with their small size.

Footplate area allows calculating estimates of the audible hearing range of extinct taxa. This proxy would need to be complemented by other methods using measurements of the bony labyrinth such as power functions based on basilar membrane length and cochlear canal coiling that show good correlations with hearing limits (West, 1985). As this work focuses on the morphology of the stapes, we chose to use measurements from the stapes only, and not to include data from the bony labyrinth to calculate low and high frequency limit estimates.

## CONCLUSIONS

Fossil stapes are likely to be found in a wide array of bony labyrinth of mammals. In artiodactyls, we report a quite frequent occurrence of stapes fallen in the bony labyrinth after death and tissue decay. Stapes are either preserved in the ampulla, in the elliptical/spherical recesses, or in the basal turn of the cochlea. Artiodactyla stapes show diverse morphologies, and stapes are likely to carry potentially relevant phylogenetic characters.

Metric analyses show that, in our sample, the stapes length is closely isometric with the vestibule volume of the bony labyrinth, whereas it is strongly negatively allometric to body mass. Regression analyses between stapedial footplate dimensions and the body mass in a sample of placentals demonstrate that artiodactyls and perissodactyls may possess relatively small stapes compared to other placental mammals. These preliminary results achieved on a reduced sample show that stapedial morphology clearly deserves to be investigated in larger samples of placentals in the future.

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